United States Department of Labor Employees' Compensation Appeals Board

J.S., Appellant)
and) Docket No. 09-1864
DEPARTMENT OF DEFENSE, DEFENSE LOGISTICS AGENCY, El Toro, CA, Employer) Issued: May 7, 2010)
Appearances:) Case Submitted on the Record
Capp P. Taylor, Esq., for the appellant Office of Solicitor, for the Director	

DECISION AND ORDER

Before:
DAVID S. GERSON, Judge
MICHAEL E. GROOM, Alternate Judge
JAMES A. HAYNES, Alternate Judge

JURISDICTION

On July 14, 2009 appellant filed a timely appeal from the February 6 and June 4, 2009 merit decisions of the Office of Workers' Compensation Programs denying his claim for work-related heart attacks. Pursuant to 20 C.F.R. §§ 501.2(c) and 501.3, the Board has jurisdiction over the merits of this case.

ISSUE

The issue is whether appellant met his burden of proof to establish that he sustained heart attacks in 2003 and 2007 due to his accepted work conditions.

FACTUAL HISTORY

In July 1991, the Office accepted that appellant, then a 37-year-old environmental protections specialist, sustained an anxiety disorder and an episode of Xanax dependency, resolved. Appellant claimed that he sustained stress due to the duties of his job, including dealing with the management of hazardous waste. He stopped work on July 2, 1990 and later returned to light-duty work.

Appellant subsequently claimed that his angina was aggravated by his accepted anxiety disorder. On June 23, 1996 the Office accepted the consequential condition of aggravation of angina decubitus. In mid 2003, appellant sustained a heart attack and in August 2003 he underwent triple bypass surgery. In late 2007, he sustained a second heart attack and in November 2007 and January 2008 he underwent additional procedures, including a left heart catheterization and stent of the saphenous vein graft. Appellant claimed that his 2003 and 2007 heart attacks were related to his accepted work conditions.

In a March 10, 2008 report, Dr. David H.S. Iansmith, an attending cardiologist, stated that appellant had an underlying anxiety disorder which caused him significant and continued exacerbation of his atherosclerotic cardiovascular disease and contributed to its development as well as exacerbation. He noted that appellant was status postcoronary artery bypass grafting and recently required percutaneous transluminal coronary angioplasty plus stenting for worsening of his coronary artery disease. Appellant required hospitalization and had an obstruction of his right femoral artery which required surgical repair. Dr. Iansmith advised that appellant was receiving ongoing medical therapy for his coronary artery disease, hypertension, hyperlipidemia and his anxiety stress reaction syndrome. He stated, "These problems are interrelated and interdependent and have caused [appellant] to become disabled."

In July 2008, the Office referred appellant to Dr. Matthew Smolin, a Board-certified cardiologist, for evaluation of his heart condition. On August 12, 2008 Dr. Smolin reviewed a history of appellant's heart condition and detailed his findings on examination. He stated that it was virtually impossible to quantify any relationship between stress and appellant's cardiovascular disease. Appellant had multiple risk factors including hypertension, diabetes, hyperlipidemia and smoking. Dr. Smolin stated, "While I believe that the patient's anxiety probably does exacerbate angina pectoris, I do not believe it is responsible for the underlying coronary artery disease." He did not believe that the anxiety disorder was related to appellant's history of atrial fibrillation, stating:

"In my opinion, [appellant's] angina pectoris is a consequence of having atherosclerotic heart disease and that the presence of underlying blockage in his coronary arteries is aggravated by his anxiety resulting in angina pectoris. Thus, the angina pectoris is aggravated by the underlying anxiety disorder.... In my opinion, [appellant's] heart attacks are not related to his anxiety disorder. They are related to his underlying atherosclerotic heart disease. The atherosclerotic heart disease is a consequence of hypertension, diabetes, hyperlipidemia, smoking, advancing age and being overweight. [Appellant's] angina is exacerbated by the anxiety disorder. However, [he] was not working at the time of his heart attacks and I do not believe that any work-related injury provoked the heart attacks."

The Office requested that Dr. Smolin clarify whether the accepted work-related conditions contributed to appellant's heart attacks. On December 3, 2008 Dr. Smolin stated that angina pectoris was chest pain which resulted from insufficient blood supply to heart muscle. He

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¹ It appears that appellant last worked for the employing establishment in 1996.

advised that in most cases this condition was caused by atherosclerotic heart disease, which was the process of obstruction of the coronary arteries due to the build-up of plaque. Dr. Smolin stated:

"The anxiety, in my opinion, did not influence the progression of the underlying heart disease. In my opinion, the heart attacks are not caused by angina. Angina pectoris is a symptom which is a result of coronary artery disease. A heart attack is a more severe expression of the coronary artery disease leading to irreversible damage to the heart muscle. In my opinion, heart attacks were not caused by the anxiety."

In a February 6, 2009 decision, the Office denied appellant's claim on the grounds that he did not submit sufficient medical evidence to establish that his heart attacks in 2003 and 2007 were due to his accepted conditions.

In a March 12, 2009 report, Dr. Robert Buchalter, an attending Board-certified psychiatrist, diagnosed generalized anxiety disorder with panic episodes and obsessive-compulsive traits. He noted that appellant was being seen by other physicians for heart problems and found that he was totally disabled.

In a March 31, 2009 report, Dr. Frank A. McGrew, III, an attending Board-certified cardiologist, mentioned appellant's 2003 and 2007 heart procedures and stated that he was a new patient under his care for coronary arterial disease. He stated that appellant's current diagnoses included hypertension, reactive tachycardia, anxiety neurosis, stress reaction disorder, hyperlipidemia, chronic obstructive pulmonary disease and angina. Dr. McGrew advised that appellant's condition was under fairly good control with medication and stated:

"In my opinion [appellant's] anxiety disorder has a major impact on his heart. Also, his heart attacks are related to his anxiety disorder which was documented by his previous cardiologist, Dr Iansmith as well. [Appellant] continues to be disabled and in light of his accepted stress-related disability it appears his risk factors have worsened over time."

In a June 4, 2009 decision, the Office affirmed the February 6, 2009 decision. It found that Dr. McGrew did not provide adequate medical rationale in support of his stated conclusions.

LEGAL PRECEDENT

An employee seeking benefits under the Federal Employees' Compensation Act² has the burden of establishing the essential elements of his claim including the fact that the individual is an employee of the United States within the meaning of the Act, that the claim was timely filed within the applicable time limitation period of the Act, that an injury was sustained in the performance of duty as alleged and that any specific condition for which compensation is claimed is causally related to the employment injury.³ The medical evidence required to

² 5 U.S.C. §§ 8101-8193.

³ Elaine Pendleton, 40 ECAB 1143, 1145 (1989).

establish a causal relationship is rationalized medical opinion evidence. Rationalized medical opinion evidence is medical evidence which includes a physician's rationalized opinion on the issue of whether there is a causal relationship between the claimant's diagnosed condition and the implicated employment factors. The opinion of the physician must be based on a complete factual and medical background of the claimant, must be one of reasonable medical certainty and must be supported by medical rationale explaining the nature of the relationship between the diagnosed condition and the specific employment factors identified by the claimant.⁴

ANALYSIS

In July 1991, the Office accepted that appellant had an anxiety disorder and an episode of Xanax dependency, resolved. In June 1996, it accepted the consequential condition of aggravation of angina decubitus.⁵ Appellant sustained heart attacks in 2003 and 2007 and claimed that the attacks and subsequent surgeries were related to his accepted work conditions.

The Board finds that appellant did not submit sufficient medical evidence to establish that he sustained heart attacks in 2003 and 2007 due to his accepted work conditions.

In a March 10, 2008 report, Dr. Iansmith, an attending cardiologist, stated that appellant had an underlying anxiety disorder which caused him significant and continued exacerbation of his atherosclerotic cardiovascular disease and contributed to its development as well as exacerbation. He indicated that appellant was receiving ongoing medical therapy for his coronary artery disease, hypertension, hyperlipidemia and his anxiety stress reaction syndrome. Dr. Iansmith asserted that appellant should be covered by workers' compensation and stated, "These problems are interrelated and interdependent and have caused [appellant] to become disabled." This report of limited probative value regarding the relevant issue of the present case as Dr. Iansmith did not provide a clear opinion that the work-related anxiety condition contributed to appellant's heart attacks in 2003 and 2007. Dr. Iansmith did not discuss appellant's medical history in any detail or explain how the accepted anxiety disorder contributed to appellant's 2003 and 2007 heart attacks or his atherosclerotic cardiovascular disease in general.

In a March 31, 2009 report, Dr. McGrew, an attending Board-certified cardiologist, mentioned appellant's 2003 and 2007 heart procedures and stated that his current diagnoses included hypertension, reactive tachycardia, anxiety neurosis, stress reaction disorder, hyperlipidemia, chronic obstructive pulmonary disease and angina. He stated, "In my opinion [appellant's] anxiety disorder has a major impact on his heart. Also, his heart attacks are related to his anxiety disorder which was documented by his previous cardiologist, Dr Iansmith as well. [Appellant] continues to be disabled and in light of his accepted stress-related disability it appears his risk factors have worsened over time."

On appeal, appellant's attorney contends that this report was sufficient to establish appellant's claim. However, the Board finds that Dr. McGrew's opinion is of limited probative

⁴ Victor J. Woodhams, 41 ECAB 345, 351-52 (1989).

⁵ Supra note 1.

value in that he did not provide sufficient medical rationale in support of his conclusion on causal relationship.⁶ Dr. McGrew merely stated his opinion without providing any explanation. He did not discuss appellant's accepted conditions in any detail or explain the process through which they contributed to his suffering heart attacks in 2003 and 2007. Dr. McGrew did not describe appellant's heart condition around the times he suffered his heart attacks or explain why his numerous nonwork-related risk factors were not the causes of his heart condition.

Moreover, the record contains medical evidence which shows that appellant's work conditions did not contribute to his 2003 and 2007 heart attacks. In August 12 and December 3, 2008 reports, Dr. Smolin, a Board-certified cardiologist who served as an Office referral physician, determined that these work conditions did not contribute to the heart attacks. On appeal, appellant's attorney argued that the reports showed that the work conditions contributed at least in small part to appellant's heart attacks. Although appellant's attorney properly cited Board precedent indicating that, a significant contribution of a given work factors is not necessary to establish causal relationship, the Board finds that Dr. Smolin did not provide an opinion that the work conditions contributed to the occurrence of the 2003 and 2007 heart attacks, even in small part.

In his August 12 and December 3, 2008 reports, Dr. Smolin stated that it was virtually impossible to quantify any relationship between stress and appellant's cardiovascular disease. He discussed appellant's numerous nonwork risk factors and posited that they were the cause of his coronary artery disease and heart attacks. Dr. Smolin acknowledged that work-related stress aggravated appellant's angina, but noted that the underlying cause of this angina was his nonwork-related coronary artery disease. He further explained, "In my opinion, the heart attacks are not caused by angina. Angina pectoris is a symptom which is a result of coronary artery disease. A heart attack is a more severe expression of the coronary artery disease leading to irreversible damage to the heart muscle. In my opinion, heart attacks were not caused by the anxiety." Therefore, Dr. Smolin did not find that the accepted work conditions, including aggravation of angina, contributed to the 2003 and 2007 heart attacks.

For these reasons, appellant did not submit sufficient medical evidence showing that he sustained heart attacks in 2003 and 2007 due to his accepted work conditions.

CONCLUSION

The Board finds that appellant did not meet his burden of proof to establish that he sustained heart attacks in 2003 and 2007 due to his accepted work conditions.

⁶ See Leon Harris Ford, 31 ECAB 514, 518 (1980) (finding that a medical report is of limited probative value on the issue of causal relationship if it contains a conclusion regarding causal relationship which is unsupported by medical rationale).

⁷ See Glenn C. Chasteen, 42 ECAB 493, 499 (1991).

ORDER

IT IS HEREBY ORDERED THAT the June 4 and February 6, 2009 decisions of the Office of Workers' Compensation Programs are affirmed.

Issued: May 7, 2010 Washington, DC

David S. Gerson, Judge Employees' Compensation Appeals Board

Michael E. Groom, Alternate Judge Employees' Compensation Appeals Board

James A. Haynes, Alternate Judge Employees' Compensation Appeals Board